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UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: HISADA et al. Confirmation No.: 5474  
Serial No.: 10/773,053 Group Art Unit: 2655  
Filed: February 4, 2004 Docket: 10873.0712USD2  
Title: OPTICAL DISK, METHOD FOR PRODUCING THE SAME, AND  
APPARATUS FOR PRODUCING THE SAME

**CERTIFICATE UNDER 37 CFR 1.8:**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on July 20, 2006.

By: 

Name: Antonette Peters

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**53148**

PATENT TRADEMARK OFFICE

Commissioner:

The following papers are transmitted herewith:

- ☒ Transmittal Sheet in duplicate containing Certificate of Mailing
- ☒ Supplemental Information Disclosure Statement, Form 1449, 5 references
- ☒ Other: European Search Report
- ☒ Return Postcard

Please charge any additional fees or credit overpayment to Deposit Account No. 50-3478. A duplicate of this sheet is enclosed.

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P.O. Box 2902-0902 Minneapolis, MN 55402  
612.455-3800

By: 

Name: Douglas P. Mueller  
Reg. No: 30,300  
Initials: DPM/acp



S/N 10/773,053

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	HISADA et al.	Examiner:	Unknown
Serial No.:	10/773,053	Group Art Unit:	2655
Filed:	February 4, 2004	Docket No.:	10873.0712USD2
Title:	OPTICAL DISK, METHOD FOR PRODUCING THE SAME, AND APPARATUS FOR PRODUCING THE SAME		

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By: 

Name: Antonette Peters

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

(37 C.F.R. § 1.97(c))

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Commissioner:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner. The references were recently cited in a European Search Report, mailed June 23, 2006.

This statement should be considered because it is submitted before the mailing date of a first Office Action on-the-merits. Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449.

In accordance with 37 C.F.R. §1.98(a)(2), a copy of each non-U.S. document or other information listed on the enclosed Form 1449 is provided. A copy of the European Search Report is also enclosed.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do

not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Please charge any additional fees or credit any overpayment to Deposit Account No. 50-3478.



Dated: July 20, 2006

Respectfully submitted,

HAMRE, SCHUMANN, MUELLER &  
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By: 

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Reg. No. 30,300  
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FORM 1449\*

## INFORMATION DISCLOSURE STATEMENT

## IN AN APPLICATION

(Use several sheets if necessary)

Docket Number:

10873.0712USD2

Application Number:

10/773,053

Applicant: HISADA et al.

Filing Date:

February 4, 2004

Group Art Unit

2655



## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	US 5,827,593	10/1998	Maruyama et al.			

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	JP 11-25510	01/1999	Japan			Abstract	
	JP 10-283683	10/1998	Japan			Abstract	
	JP 11-53769	02/1999	Japan			Abstract	
	JP 11-232701	08/1999	Japan			Abstract	
	JP 61-156547	07/1986	Japan			Abstract	

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

		European Search Report mailed June 23, 2006 for corresponding EP patent application EP 01 10 9474

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PATENT TRADEMARK OFFICE

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.